

SECTOR \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Frank S. Saavedra-Lim

Serial No.: 09/475,950

Filed: December 31, 1999



) Attorney Docket No.: E-833

) Group Art Unit: 2876

) Date: March 1, 2000

For: A METHOD AND SYSTEM OF UPGRADING THIRD PARTY FUNCTIONALITY IN
AN ELECTRONIC FRAUD MANAGEMENT SYSTEM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 18, 2000 (copy attached as required), enclosed is a Declaration and Power of Attorney for the above-identified patent application.

Please charge the \$130.00 fee to our Deposit Account No. 16-1885. A duplicate copy of this response is enclosed for use in charging the Deposit Account.

Please charge any additional fee or credit any overpayment to our Deposit Account 16-1885.

Respectfully submitted,

Robert E. Meyer
Reg. No. 26,307
Agent for the Applicant
Telephone (203) 924-3848

PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

on March 1, 2000
Date of Deposit

Paul A. Levitsky
Name of Representative

Signature

March 1, 2000
Date



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/475,950	12/31/99	SAAVEDRA-LIM	E-833

PAL
CHARLES R MALANDRA JR
PITNEY BOWES INC
IS PROF & TECH LAW DEPT
35 WATERVIEW DR PO BOX 3000
SHELTON CT 06484



2876

DATE MAILED:

02/18/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

Declaration Due 18 APR 00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

00111 161805 09475950 0 CH